# Progress in English Conversational Telephone Speech Transcription

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# Outline

- Increased number of model parameters
  - 9K states to 15K states
  - use of multiple STCs
- Combination results
- Initial experiments with fMPE
  - view fMPE as temporally varying *shift* of mean vectors
  - extension: pMPE as temporally varying *scale* of precision matrices



# Acoustic Training Set-Up

- Acoustic Model Training Data (fsh2004h5train03b 2180hours):
  - h5train03b: 360hours used in 2003 evaluation
  - fsh2004: 1820hours BBN/Wordwave+LDC quick transcriptions
- Acoustic Model Test Data:
  - eval03 6 hours (3 hours Switchboard2 Phase 5, 3 hours Fisher)
  - dev04 3 hours Fisher data
- Front-end
  - 12 PLP cepstral parameters + C0 and 1st/2nd/3rd derivatives + HLDA
  - Side-based cepstral mean and variance normalisation plus VTLN
- Baseline Acoustic Models
  - Gender independent, decision tree state clustered triphones
  - MPE training with dynamic MMI prior
- Language Models (see RT04f workshop paper for details)
  - 2003 trigram (tgint03) unadapted decodes
  - 2004 evaluation LM for 10xRT experiments



System	# States	MPE		dev04		
	(# Comp)	lter	s25	fsh	Avg	
S1	6K (28)	0	34.1	26.0	30.2	26.4
S4	9K (36)	, i i i i i i i i i i i i i i i i i i i	33.0	24.8	29.0	25.3
S6	15K (36)	(ML)	32.1	24.3	28.3	24.3
S1	6K (28)		27.9	20.2	24.2	20.5
S4	9K (36)	8	26.8	19.5	23.3	20.0
S6	15K (36)		26.5	19.5	23.1	19.7

# Varying Model Complexity

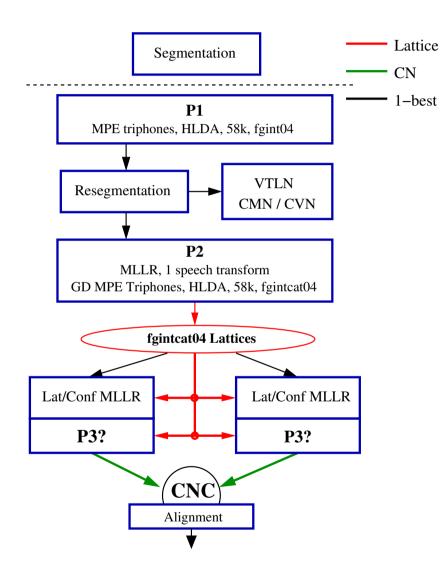
%WER GI unadapted decode 2003 trigram

- S6 system (540K) is  $1.7\times$  larger than S4 system (320K) on dev04
  - S6 MLE 1.0%/MPE 0.3% better than S4 MLE/MPE system
- Unfortunately MPE gains consistently less than MLE gains
  - complexity affects MPE gains on dev04

**S**1 5.9% **S**4 5.3% **S**6 4.6%



# **10xRT Framework**



- Evaluation 10xRT framework:
- Multi-pass framework
- Confusion network generation
- Confusion network combination
- Evaluation system used:
  - P3b: S4: Triphone GD MPron
  - P3q: Q1: Quinphone SAT SPron
- Use alternative P3 branches
  - ignore time constraints ...



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System		dev04			
		s25	fsh	Avg	
P3b-cn	S4 GD	21.7	14.7	18.3	15.1
P3q-cn	Q1 SPRON-SAT	21.5	14.8	18.2	15.0
P3d-cn	S6 GD	21.3	14.5	18.0	14.9
P3s-cn	S4 SAT-SPAM	21.0	14.6	17.9	14.7
P3b+P3q		20.9	14.1	17.6	14.3
P3b+P3d		21.3	14.3	17.9	14.7
P3d+P3q	CNC	20.6	13.9	17.4	14.1
P3s+P3q		20.4	14.0	17.3	14.1
P3s+P3d		20.8	14.3	17.7	14.3

#### **10xRT Framework Results**

% WER 2004 10xRT rescoring/combination, 2004 RT04f LMs

- Best single branch S4 SAT-SPAM system (too slow for real 10xRT!)
- S6 GD about 0.2% better than S4 GD
- Gain maintained after combination with Q1, 0.2% better than eval system.



# Semi-Tied Covariance Matrices (reminder)

- IBM investigated full covariance matrices
  - simpler updates than SPAM/EMLLT systems
  - but dramatic increase in number of parameters/decode cost
  - necessary to limit number of components (IBM: 144K vs 800K)
- Examine simpler precision matrix models semi-tied covariance matrices
  - simple/efficient update formulae
  - efficient likelihood calculation

$$\mathcal{L}(\mathbf{o};\boldsymbol{\mu}^{(m)},\boldsymbol{\Sigma}_{\text{diag}}^{(m)},\mathbf{A}^{(r)}) = |\text{det}(\mathbf{A}^{(r)})| \mathcal{N}(\mathbf{A}^{(r)}\mathbf{o};\boldsymbol{\mu}^{(m)},\boldsymbol{\Sigma}_{\text{diag}}^{(m)})$$

- HLDA subsumes a global STC transform
- Normally only a small number of semi-tied transforms considered
  - with more data, dramatically increase the number of transforms
  - no need to limit number of components



System	#STC	MPE		dev04		
System	XForms	lter	s25	fsh	Avg	
S4		0	31.6	24.3	28.1	24.6
	1K		31.3	24.0	27.8	
	9K	(ML)	30.7	23.1	27.0	23.5
S4		8	26.7	19.6	23.3	20.0
	9K		26.3	18.9	22.7	19.4
S6		8	26.5	19.4	23.0	19.5

## **Unadapted STC Results**

%WER GI unadapted decode, HDecode, PronProbs, 2003 trigram

- S4 9K STC system is  $1.6\times$  larger than standard S4 system
  - S4 9K STC system MLE 1.1%/MPE 0.6% absolute better than S4 system
  - slightly better (0.1%-0.3%) than S6 system
- Unfortunately adaptation more complex (same as full cov)



#### **fMPE** – from the Model Parameter Point of View

- IBM's fMPE a form of feature interpolation based on posterior information
- Equivalent to temporally varying *shift* of mean vectors

$$\boldsymbol{\mu}_{mt} = \boldsymbol{\mu}_m + \sum_{i=1}^n p(c_i | \boldsymbol{o}_t) \boldsymbol{b}_i = \boldsymbol{\mu}_m + \boldsymbol{b}_t$$

–  $c_i$ : cluster centroid,  $n \gg d$ 

- Static  $({m \mu}_m)$  & dynamic  $({m b}_t)$  parameters
- Interleaving update of static and dynamic parameters:
  - static: update  $\mu_m$  (ML); fix  $b_i$
  - **dynamic**: update  $oldsymbol{b}_i$  (fMPE); fix  $oldsymbol{\mu}_m$



#### **Update of Temporal Mean Vectors**

• Update of 
$$\boldsymbol{\mu}_m$$
 (ML):  $\boldsymbol{\mu}_m = \frac{\sum_{t=1}^T \gamma_m(t) (\boldsymbol{o}_t - \boldsymbol{b}_t)}{\sum_{t=1}^T \gamma_m(t)}$ 

• Update of  $b_{ij}$  (fMPE):  $\hat{b}_{ij} = b_{ij} + \eta_{ij} \frac{\partial \mathcal{F}}{\partial b_{ij}}$ 

$$\frac{\partial \mathcal{F}}{\partial b_{ij}} = \sum_{t=1}^{T} p(c_i | \boldsymbol{o}_t) \left\{ \sum_{m=1}^{M} \left( \frac{\partial \mathcal{F}}{\partial \mathcal{L}^m} \frac{\partial \mathcal{L}^m}{\partial \mu_{mjt}} + \frac{\partial \mathcal{F}}{\partial \mu_{mj}} \frac{\partial \mu_{mj}}{\partial \mu_{mjt}} + \frac{\partial \mathcal{F}}{\partial \sigma_{mj}^2} \frac{\partial \sigma_{mj}^2}{\partial \mu_{mjt}} \right) \right\}$$
$$\mathcal{L}^m = K - \frac{1}{2} \sum_{j=1}^{d} \left( \log(\sigma_{mj}^2) - \frac{(o_{jt} - \mu_{mjt})^2}{\sigma_{mj}^2} \right)$$

- Exactly the same as fMPE ...
- But, motivates the extension for *temporal precision matrices*



### **Temporal Precision Matrices (pMPE)**

• Temporal scaling of diagonal precision elements  $(s_{mj} = 1/\sigma_{mj}^2)$ 

$$s_{mjt} = \left(1 + \sum_{i=1}^{n} p(c_i | \boldsymbol{o}_t) a_{ij}\right)^2 s_{mj} = a_{jt}^2 s_{mj}$$

- Positive temporal scaling,  $a_{jt}^2$ , to ensure positive variances
- Similar interleaving update as fMPE
- Likelihood calculation more expensive:

$$\mathcal{L}^{m} = K + \frac{1}{2} \sum_{j=1}^{d} \left( \log(s_{mj}) + \log(a_{jt}^{2}) - a_{jt}^{2} s_{mj} (o_{jt} - \mu_{mjt})^{2} \right)$$

- cache 
$$a_{jt}^2$$
 and  $\sum_{j=1}^d \log(a_{jt}^2)$   
- extra  $d$  multiplications and 1 addition



#### **Update of Temporal Precision Matrices**

• Update of  $\sigma_{mj}^2$  (ML):

$$\sigma_{mj}^2 = \frac{\sum_{t=1}^T \gamma_m(t) a_{jt}^2 (o_{jt} - \mu_{mjt})^2}{\sum_{t=1}^T \gamma_m(t)}$$

• Update of 
$$a_{ij}$$
 (pMPE):  

$$\hat{a}_{ij} = a_{ij} + \eta_{ij} \frac{\partial \mathcal{F}}{\partial a_{ij}}$$

$$\frac{\partial \mathcal{F}}{\partial a_{ij}} = 2 \sum_{t=1}^{T} a_{jt} p(c_i | \boldsymbol{o}_t) \left\{ \sum_{m=1}^{M} \left( \frac{\partial \mathcal{F}}{\partial \mathcal{L}^m} \frac{\partial \mathcal{L}^m}{\partial s_{mjt}} + \frac{\partial \mathcal{F}}{\partial \sigma_{mj}^2} \frac{\partial \sigma_{mj}^2}{\partial s_{mjt}} + \frac{\partial \mathcal{F}}{\partial \mu_{mjt}} \frac{\partial \mu_{mjt}}{\partial s_{mjt}} \right) \right\}$$

• Learning rate:

$$\eta_{ij} = \frac{\alpha}{(p_{ij} + n_{ij})}$$

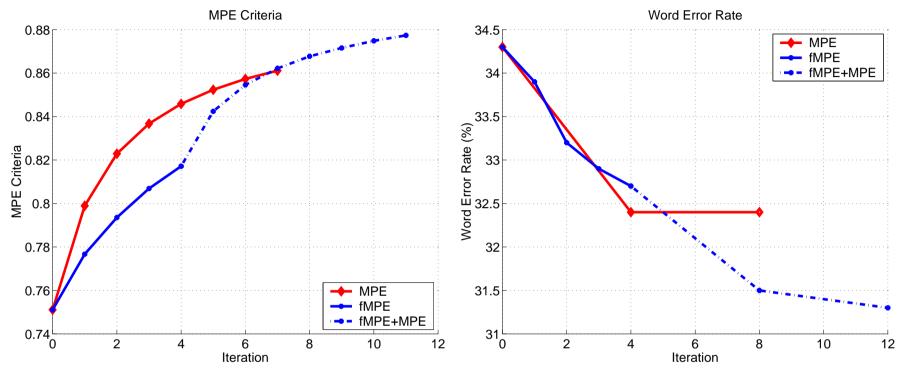


#### **Experimental Setup**

- Acoustic model data sets:
  - **Training data**: 76 hours h5etrain03sub & 296 hours h5etrain03
  - **Test data**: 3 hours dev01sub & 6 hours eval03
- Front-end: Standard CUED CTS set-up
- Posterior calculations:
  - $\sim 70 {\rm k}$  &  $\sim 100 {\rm k}$  Gaussians for posterior calculation
  - Gaussians grouped into 1024 clusters
  - Evaluate the top 5 Gaussians with  $\sim 2$  active posteriors/frame
  - Single frame posteriors without context
- Baseline Acoustic Models
  - 12 & 16 component VarMix gender independent
  - Decision tree state clustered triphones ( $\sim 6000$  states)
  - MPE training *without* dynamic MMI prior
- Trigram Language Models

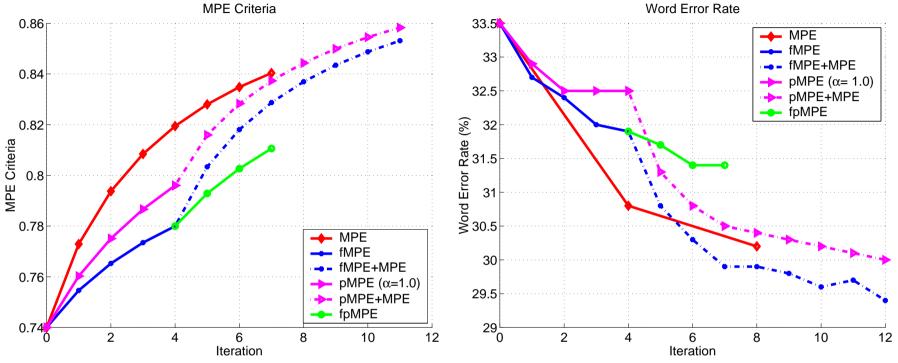






- fMPE (4 iter): 32.7% (+1.6% over ML)
- fMPE+MPE (8 iter): 31.1% (+1.4% over MPE & +3.2% over ML)
- pMPE & pMPE+MPE: less robust to overtraining





- fMPE & fMPE+MPE: similar gain as before
- pMPE converged quicker (  $\sim$  2 iterations) with  $\sim$  1.0% gain over ML
- pMPE+MPE gave 0.2-0.3% gain over MPE alone
- fpMPE further 0.5% gain over fMPE



#### eval03 results of fMPE & pMPE trained on h5etrain03

System	lter 0			lter 4			Iter 8		
	s25	fsh	Avg	s25	fsh	Avg	s25	fsh	Avg
MPE	36.4	27.1	31.9	33.6	24.2	29.1	33.2	23.6	28.6
fMPE+MPE	34.5	25.4	30.1	32.7	23.3	28.1	32.3	22.9	27.8
pMPE+MPE	35.1	26.0	30.7	33.2	24.1	28.8	32.9	23.6	28.4

%WER of 16-component systems on eval03

- Performance of fMPE and pMPE on eval03 similar to dev01sub
- Gains over ML: fMPE (1.8%), pMPE (1.2%), fpMPE (2.0%)
- Improvement over MPE alone: fMPE+MPE (0.8-1.0%), pMPE+MPE (0.2-0.3%) and fpMPE+MPE (0.4-0.5%)



### Summary

- S6 (15k states) gave  $\sim$  0.2-0.3% absolute gain
- STC 9K system:
  - alternative approach to building full covariance matrix system
  - gave  $\sim$  0.6% absolute gain (unadapted MPE)
- Initial fMPE results similar gains to IBM
- Gains from pMPE smaller compared to fMPE
- Future work:
  - apply fMPE to larger training set (fsh2004h5etrain03b)
  - investigate interaction between fMPE and pMPE
  - lattice regeneration for fMPE+MPE training

